

Awareness Session on Securities Market organized by Securities and Exchange Board of India (SEBI) And National Stock Exchange Of India Limited (NSE)

AT THE ICAFI UNIVERSITY, SIKKIM

Date: 22nd November 2024



An enlightening Awareness Session on the Securities Market was conducted by the Securities and Exchange Board of India (SEBI) in collaboration with the National Stock Exchange of India Limited (NSE). The session was specifically organized for B.Com, BBA, and MBA students, and was attended by the School of Management HODs and faculty members, making it a comprehensive learning platform for budding professionals and educators.

The session featured three esteemed chief guests:

1. Shri Jeevan Sonparote, Chief General Manager, SEBI.

2. Shri Ankit Sharma, Chief Regulatory Officer, NSE.

3. Shri Debankur Majumdar, Vice President, NSE.

- **Acknowledgments and Facilitation:** The SEBI and NSE representatives were felicitated by Ms. Sandhya R. Pant, Deputy Registrar, who honored them with khadas as a token of respect and appreciation for their contributions to the session.
- **Expert Address by Shri Jeevan Sonparote, Chief General Manager, SEBI:** Shri Jeevan Sonparote delivered an engaging address, focusing on: Demat Accounts: The process and benefits of dematerialization, ensuring secure and transparent transactions in securities. Corporate Governance The significance of ethical practices and accountability in the corporate world. Fraud Enforcement Measures taken by SEBI to detect and

mitigate fraudulent activities in the market Investor Awareness Initiatives to empower investors with knowledge and tools for informed decision-making. And FII Regulations: The framework governing Foreign Institutional Investors and their role in the Indian market.

- **Insights from Shri Ankit Sharma, Chief Regulatory Officer, NSE:** Shri Ankit Sharma elaborated on NSE's commitment to: Maintaining high standards of regulatory oversight to ensure market integrity. Implementing robust risk management systems to mitigate market risks. Strengthening investor protection mechanisms, emphasizing transparency and accountability.
- **Address by Shri Debankur Majumdar, Vice President, NSE:** Shri Debankur Majumdar shared his perspective on various key aspects of the securities market, including the evolving regulatory landscape and initiatives to promote market efficiency and inclusivity.

The session concluded with an engaging Question and Answer round. To make the session more interactive, a QR code-based system was introduced, where questions were displayed. Participants who answered correctly within the shortest time were rewarded with gifts sponsored by SEBI and NSE. This innovative approach kept the audience actively involved and enthusiastic.

The awareness session was highly informative and well-received by participants, enhancing their understanding of the securities market and the regulatory frameworks that govern it. It provided an excellent learning opportunity for students, faculty, and management professionals, emphasizing the importance of investor education and protection while fostering a culture of compliance and transparency in the market.